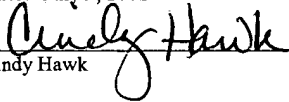


CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service via First Class Mail on the date indicated below and is addressed to:  
BOX MISSING PARTS, Assistant Commissioner for Patents, Washington, D.C. 20231.  
Date: July 9, 2001

  
Cindy Hawk

Attorney Docket No. 11000.1037c3  
PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of **Lorna Strachan, Matthew Sleeman, Nevin Abernethy, Rene Onrust, Krishanand D. Kumble and James G. Murison**

Group Art Unit: 1645

Application No. : 09/823,038  
Filed : March 28, 2001  
For : **COMPOSITIONS ISOLATED FROM STROMAL CELLS  
AND METHODS FOR THEIR USE**

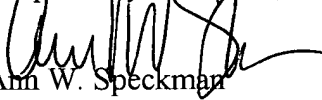
**REQUEST FOR CORRECTED FILING RECEIPT**

BOX MISSING PARTS  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir or Madam:

The Filing Receipt for the above referenced patent application has incorrectly listed the address of the inventors. The address for the first inventor should read "Auckland City, NEW ZEALAND" instead of "Parnell, NEW ZEALAND", the address for the second inventor should read "Royston Herts., UNITED KINGDOM" instead of "Parnell, NEW ZEALAND", the address for the third inventor should read "Auckland, NEW ZEALAND" instead of "Parnell, NEW ZEALAND", the address for the fourth inventor should read "Auckland, NEW ZEALAND" instead of "Parnell, NEW ZEALAND", the address for the fifth inventor should read "The Woodlands, Texas, USA" instead of "Parnell, NEW ZEALAND", and the address for the sixth inventor should read "Auckland, NEW ZEALAND" instead of "Parnell, NEW ZEALAND". Applicant respectfully requests a corrected Filing Receipt. A copy of the Filing Receipt with the appropriate changes is included for your convenience.

Respectfully submitted,

  
Ann W. Speckman  
Registration No. 31,881

Date: July 9, 2001

SPECKMAN LAW GROUP



20601

PATENT TRADEMARK OFFICE

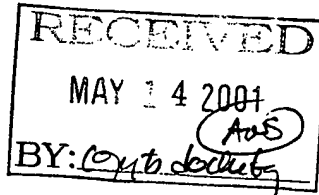


## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/823,038	03/28/2001	1645	704	11000.1037c3	9	22	7

SPECKMAN LAW GROUP  
Suite 100  
1501 Western Avenue  
Seattle, WA 98101



CONFIRMATION NO. 1046

## FILING RECEIPT



\*OC000000006059647\*

Date Mailed: 05/09/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

*Auckland City*  
Lorna Strachan, ~~Parnell~~, NEW ZEALAND;  
Matthew Sleeman, ~~Parnell~~, NEW ZEALAND; *Royston Herts., United Kingdom*  
Nevin Abernethy, ~~Parnell~~, NEW ZEALAND; *Auckland*  
Rene Onrust, ~~Parnell~~, NEW ZEALAND; *Auckland*  
Anand Kumble, ~~Parnell~~, NEW ZEALAND; *The Woodlands, Texas, USA*  
Greg Murison, ~~Parnell~~, NEW ZEALAND; *Auckland*

## Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/221,216 07/25/2000

## Foreign Applications

PCT/NZ00/00015 02/18/2000

If Required, Foreign Filing License Granted 05/09/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

<b>Title</b>	Compositions isolated from stromal cells and methods for their use
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## Compositions isolated from stromal cells and methods for their use

**Preliminary Class**  
**435**

435

**Data entry by : NGUYEN, NGAN                      Team : OIPE                      Date: 05/09/2001**

**Team : OIPE**

**Date:** 05/09/2001

# THE UNIVERSITY OF CHICAGO



**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service via First Class Mail on the date indicated below and is addressed to: **BOX MISSING PARTS**, Assistant Commissioner for Patents, Washington, D. C. 20231.

Date: July 9, 2001

Cindy Hawk

Attorney Docket No.: 11000.1037c3  
PATENT APPLICATION

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of **Lorna Strachan, Matthew Sleeman, Nevin Abernethy, Rene Onrust, Krishanand D. Kumble and James G. Murison**

Group Art Unit: 1645

Application No. : 09/823,038

Filed : March 28, 2001

For : **COMPOSITIONS ISOLATED FROM STROMAL CELLS  
AND METHODS FOR THEIR USE**

**RESPONSE TO NOTICE TO FILE MISSING PARTS TRANSMITTAL LETTER**

**BOX MISSING PARTS**


Assistant Commissioner For Patents  
Washington, D.C. 20231

Enclosed for filing in the above-referenced patent application are the following:

- ☒ Part 2 – Copy of Notice to File Missing Parts of Nonprovisional Application;
- ☒ Executed Combined Declaration and Power of Attorney;
- ☒ Statement Regarding Sequence Listing;
- ☒ Substitute Sequence Listing – 39 pages;
- ☒ CRF Sequence Listing on Diskette;
- ☒ Request for Corrected Filing Receipt (w/copy noting changes thereon);
- ☒ Information Disclosure Transmittal and Information Disclosure Statement;
- ☒ Copies of previously submitted Forms PTO-1449 and PTO-892 from related applications;
- ☒ A check in the amount of **\$65.00** in payment of the Oath or Declaration Surcharge; and
- ☒ Self addressed return receipt postcard.

The Commissioner is hereby authorized to charge any additional fees, which may be required in connection with the filing of these documents, or credit any overpayment, to Deposit Account No. 19-3555. This document is filed in duplicate.

Respectfully submitted,



Ann W. Speckman  
Registration No. 31,881

**Dated: July 9, 2001**

**SPECKMAN LAW GROUP**



20601

PATENT TRADEMARK OFFICE

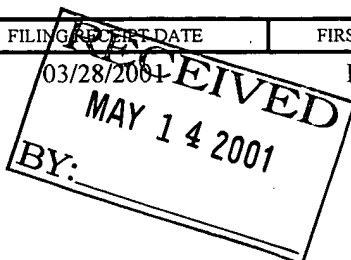


## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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#3

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/823,038	03/28/2001	Lorna Strachan	11000.1037c3



SPECKMAN LAW GROUP  
Suite 100  
1501 Western Avenue  
Seattle, WA 98101

CONFIRMATION NO. 1046

## FORMALITIES LETTER



\*OC00000006059301\*

Date Mailed: 05/09/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.
- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

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*A copy of this notice MUST be returned with the reply.*

  
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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE